

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

NED M. SMITH, ET AL.

Application No.:

Filed: February 15, 2001

For: **METHOD OF ENFORCING
AUTHORIZATION IN SHARED
PROCESSES USING ELECTRONIC
CONTRACTS**

Art Group:

Examiner:



INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56 and in accordance with 37 C.F.R. § 1.97, enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of the Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

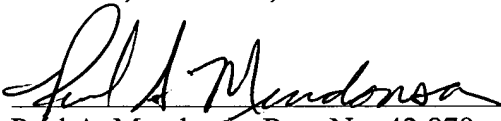
Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: February 15, 2001


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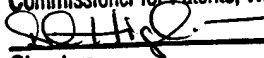
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				Application Number	
Sheet	1	of	1	Filing Date	February 15, 2001
				First Named Inventor	Ned M. Smith
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	42390P10462

JCS97 U.S. PTO
 09/784941
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OTHER ART (Including Title, Author, Date, Pertinent Pages, etc.)

Exam. Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	1	JON HOWELL, DAVID KOTZ; "An Access-Control Calculus for Spanning Administrative Domains", Technical Report PCS-TR99-361, Pages 1-36, Department of Computer Science, Dartmouth College, Hanover, USA.
	2	MARTIN SACHS, JOHN IBBOTSON; "Electronic Trading-Partner Agreement for E-Commerce", Pre-submission Draft, Version: 1.0.3, 25 January 2000, Pages 1-86, IBM.
	3	MARTIN SACHS, ASIT DAN, THAO NGUYEN, ROBERT KEARNEY, HIDAYATULLAH SHAIKH, DANIEL DIAS; "Executable Trading-Partner Agreements in Electronic Commerce", 2000, Pages 1-22, IBM.
	4	C. ELLISON, "SPKI-Requirements-rfc2692", The Internet Society, September 1999, Pages 1-13.
	5	C. ELLISON, B. FRANTZ, B. LAMPSON, R. RIVEST, B. THOMAS, T. YLONEN; "SPKI-Theory-rfc2693", The Internet Society, September 1999, Pages 1-39.
	6	"Business to Business Integration with Trading Partner Agreements", www.ibm.com/software/big/papers, 2000, Pages 1-11, IBM.

Examiner Signature		Date Considered	
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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

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